

C<sup>3</sup>  
a principal market maker computer coupled to the communications interface, the principal market maker computer operative to automatically maintain a constant bid and offer market for a commodity and to receive and transmit [the] unmatched commodity bids and offers and [automatically] supply and execute a matching trade[s] to maintain a market for commodity trades].

Claim 26, line 30, delete "bank and institutional."

Claim 27, line 33, delete "bank and institutional;"

delete "27" and insert in its place --24--.

Claim 28, line 2, delete "bank and institutional."

Claim 29, line 5, delete "bank and institutional."

Claim 30, line 8, delete "bank and institutional."

Claim 31, line 11, delete "bank and institutional."

Claim 32, line 14, delete "bank and institutional."

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33. (Twice amended) A system for trading commodities futures, comprising:

an electronic brokerage and trading network having at least one computer, display terminal and input, the electronic brokerage and trading network operative to receive and transmit bids and offers of a commodity future; and

a principal market maker computer coupled to the electronic brokerage and trading network, the principal market maker computer operative to automatically maintain a constant bid and offer market for a commodity future and to automatically update the maintained constant bid

and offer [receive and transmit the bids and offers of the commodity and automatically execute trades by maintaining a constant bid and offer market for the commodity].

34. (Twice amended) A computerized method [of] having a principal market maker computer for trading commodities comprising the steps of:

[providing a principal market maker computer;]

receiving and transmitting bids and offers for publicly traded commodities;

storing the received bids and offers in a memory;

identifying unmatched bids and offers; and

automatically providing a complementary trade to maintain a market for such commodities.

37. (Twice amended) A computerized method [of] having a principal market maker computer for maintaining a market for publicly traded [currencies] currency futures, comprising the steps of:

[providing a principal market maker computer;]

receiving a primary currency futures purchase order, the primary currency futures purchase order indicative of whether a currency future is to be bought or sold;

receiving a secondary currency futures purchase order, the secondary currency futures purchase order indicative of a currency futures option hedge;

automatically matching and executing the primary currency futures purchase order to maintain a currency futures market;

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automatically matching and executing the secondary currency futures purchase order to maintain a currency futures options market; and  
providing a communications link to allow intermarket futures and options trading to manage risk taken in a position resulting from a trade in either market.

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41. (Twice amended) A computerized method [of] having a principal market maker computer for maintaining a market for publicly traded [currencies] currency futures, comprising the steps of:

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[providing a principal market maker computer;]  
receiving a primary currency futures purchase order, the primary currency futures purchase order indicative of whether a currency future is to be bought or sold;  
receiving a secondary currency futures purchase order, the secondary currency futures purchase order indicative of an outright option purchase;  
automatically matching and executing the primary currency futures purchase order to maintain a currency futures market;  
automatically matching and executing the secondary currency futures purchase order to maintain a currency futures options market; and  
providing intermarket futures and options trading to manage risk taken in a position resulting from a trade in either market.

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45. (Twice amended) A computerized method [of] having a principal market maker computer for maintaining a market for publicly traded [currencies] currency futures, comprising the steps of:

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[providing a principal market maker computer;]

receiving a primary currency futures purchase order, the primary currency futures purchase order indicative of whether a currency future is to be bought or sold;

receiving a secondary currency futures purchase order, the secondary currency futures purchase order indicative of an outright option sale;

automatically matching and executing the primary currency futures purchase order to maintain a currency futures market;

automatically matching and executing the secondary currency futures purchase order to maintain a currency futures options market; and

providing intermarket futures and options trading to manage risk taken in a position resulting from a trade in either market.

49. (Twice amended) A computerized method [of] having a principal market maker computer operative to receive currency bids and offers for trading [currencies] currency futures comprising the steps of:

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[providing a principal market maker computer operative to receive currency bids and offers;]

receiving currency futures bids and offers at the principal market maker computer from at least one financial institution;

storing the currency futures bids and offers in a memory;

matching unmatched [the] stored bids and offers; [to]

automatically maintaining a constant bid and offer market for the currency futures traded;

and

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~~supplying and executing the matched trades.~~

51. (Twice amended) A computerized method [of] having a principal market maker computer operative to receive and transmit bids and offers for trading a commodity comprising the steps of:

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[providing a principal market maker computer operative to receive and transmit bids and offers;]

receiving and transmitting bids and offers;

storing the received bids and offers in a memory;

identifying unmatched bids and offers; and

automatically executing a complementary trade to maintain a constant bid and offer market for the commodity.

#### REMARKS

In the Office Action, the Examiner responded to the amendments and remarks mailed by Applicant on May 18, 1998. In so doing, the Examiner pointed out a typographical error in Applicant's amendments to the specification, that Exhibit A was not included with Applicant's previous response, and raised some questions to certain of the pending claims. In addition, the Examiner objected to claims 13 and 27 for indefiniteness and a typographical error, respectively, and rejected claims 26-32 for lacking antecedent basis under 35 U.S.C. § 112, second paragraph. Claims 1, 2, 13, 24, 33 49 and 50 were rejected under 35 U.S.C. § 102(b), as being anticipated by Wagner '201. Claims 8-12 were rejected under 35 U.S.C. § 103(a), as being unpatentable over